

FORM 170 (Rev. 2-32) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	PATENT NO. 178-322	FILING DATE 10/701,402
	APPLICANT Wong et al.	CONFIRMATION NO. Unassigned
	FILING DATE November 3, 2003	GROUP Unassigned

### U.S. PATENT PUBLICATIONS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

### U.S. PATENT DOCUMENTS

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### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

RMS			1.	Banerjee et al., "Rational Sidewall Functionalization and Purification of Single-Walled Carbon Nanotubes by Solution-Phase Ozonolysis" <i>J. Phys. Chem. B</i> , 106:12144-12151 (November 1, 2002).
RMS			2.	Chiang et al., "Purification and Characterization of Single-Wall Carbon Nanotubes" <i>J. Phys. Chem. B</i> , 105:1157-1161 (January 12, 2001).
RMS			3.	Hernadi et al., "Reactivity of different kinds of carbon during oxidative purification of catalytically prepared carbon nanotubes" <i>Solid State Ionics</i> , 141:203-209 (2001).

EXAMINER Rebecca M. Skidler DATE CONSIDERED April 20, 2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

UNITED STATES DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE	178-322	10/701,402
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EMS		4.	Rinzler et al., "Large-scale purification of single-wall carbon nanotubes: process, product, and characterization" <i>Appl. Phys. A: Mater. Sci. Process</i> , 67: 29-37 (1998).
EMS		5.	Chiang et al., "Purification and Characterization of Single-Wall Carbon Nanotubes (SWNTs) Obtained from the Gas-Phase Decomposition of CO (HiPco Process)" <i>J. Phy. Chem. B</i> , 105:8297-8301 (August 10, 2001).
EMS		6.	Lu et al., "Can the Sidewalls of Single-Wall Carbon Nanotubes Be Ozonized?" <i>J. Phys. Chem. B</i> , 106:2136-2139 (February 7, 2002).
EMS		7.	Deng et al., "Oxidation of Fullerenes by Ozone" <i>Fullerene Sci. Technol.</i> , 5(5):1033-1044 (March 17, 1997).
EMS		8.	Heymann et al., "C <sub>60</sub> O <sub>3</sub> , a Fullerene Ozonide: Synthesis and Dissociation to C <sub>60</sub> O and O <sub>2</sub> " <i>J. Am. Chem. Soc.</i> , 122:11473-11479 (November 3, 2000).
EMS		9.	Mawhinney et al., "Infrared Spectral Evidence for the Etching of Carbon Nanotubes: Ozone Oxidation at 298 K" <i>J. Am. Chem. Soc.</i> , 122:2383-2384 (February 29, 2000).
EMS		10.	Bahr et al., "Covalent chemistry of single-wall carbon nanotubes" <i>J. Mater. Chem.</i> , 12:1952-1958 (May 1, 2002).
EMS		11.	Cai et al., "Ozonation of Single-Walled Carbon Nanotubes and their Assemblies on Rigid Self-Assembled Monolayers" <i>Chem Mater.</i> , 14:4235-4241 (September 5, 2002).

EXAMINER Rebecca M. Stahl DATE CONSIDERED April 20, 2006

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